

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



सत्यमेव जयते

Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L61100MH1981PLC024774

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AAACH2476E

(ii) (a) Name of the company

HARIYANA SHIP-BREAKERS LM

(b) Registered office address

156, Maker Chambers VI
220 Jamnalal Bajaj Marg, Nariman Point
Mumbai
Maharashtra
400021

(c) *e-mail ID of the company

secretarial.hariyana@gmail.com

(d) *Telephone number with STD code

02222043211

(e) Website

www.hariyanagroup.com

(iii) Date of Incorporation

09/07/1981

(iv)	Type of the Company	Category of the Company	Sub-category of the Company
	Public Company	Company limited by shares	Indian Non-Government company

(v) Whether company is having share capital

Yes

No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes

No

(a) Details of stock exchanges where shares are listed

S. No.	Stock Exchange Name	Code
1	BSE LIMITED	1

(b) CIN of the Registrar and Transfer Agent

U67190MH1999PTC118368

Pre-fill

Name of the Registrar and Transfer Agent

LINK INTIME INDIA PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

C-101, 1st Floor, 247 Park,
Lal Bahadur Shastri Marg, Vikhroli (West)

(vii) *Financial year From date (DD/MM/YYYY) To date (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

(b) Due date of AGM

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

S.No	Main Activity group code	Description of Main Activity group	Business Activity Code	Description of Business Activity	% of turnover of the company
1	C	Manufacturing	C7	Metal and metal products	38.34
2	G	Trade	G1	Wholesale Trading	61.66

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given

Pre-fill All

S.No	Name of the company	CIN / FCRN	Holding/ Subsidiary/Associate/ Joint Venture	% of shares held
1				

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid up capital
Total number of equity shares	6,500,000	6,166,667	6,166,667	6,166,667
Total amount of equity shares (in Rupees)	65,000,000	61,666,670	61,666,670	61,666,670

Number of classes

1

Class of Shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
EQUITY SHARES OF RS. 10/- EACH				
Number of equity shares	6,500,000	6,166,667	6,166,667	6,166,667
Nominal value per share (in rupees)	10	10	10	10
Total amount of equity shares (in rupees)	65,000,000	61,666,670	61,666,670	61,666,670

(b) Preference share capital

Particulars	Authorised capital	Issued capital	Subscribed capital	Paid-up capital
Total number of preference shares	5,000,000	0	0	0
Total amount of preference shares (in rupees)	50,000,000	0	0	0

Number of classes

1

Class of shares	Authorised capital	Issued capital	Subscribed capital	Paid up capital
PREFERENCE SHARES OF RS. 10/- EACH				
Number of preference shares	5,000,000	0	0	0
Nominal value per share (in rupees)	10	10	10	10
Total amount of preference shares (in rupees)	50,000,000	0	0	0

(c) Unclassified share capital

Particulars	Authorised Capital
Total amount of unclassified shares	0

(d) Break-up of paid-up share capital

Class of shares	Number of shares			Total nominal amount	Total Paid-up amount	Total premium
	Physical	DEMAT	Total			
Equity shares						

At the beginning of the year	49,000	6,117,667	6166667	61,666,670	61,666,670	
Increase during the year	0	0	0	63,000	63,000	0
i. Public Issues	0	0	0	0	0	0
ii. Rights issue	0	0	0	0	0	0
iii. Bonus issue	0	0	0	0	0	0
iv. Private Placement/ Preferential allotment	0	0	0	0	0	0
v. ESOPs	0	0	0	0	0	0
vi. Sweat equity shares allotted	0	0	0	0	0	0
vii. Conversion of Preference share	0	0	0	0	0	0
viii. Conversion of Debentures	0	0	0	0	0	0
ix. GDRs/ADRs	0	0	0	0	0	0
x. Others, specify				63,000	63,000	
Dematerialization of Shares						
Decrease during the year	0	0	0	63,000	63,000	0
i. Buy-back of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify				63,000	63,000	
Dematerialization of Shares						
At the end of the year	49,000	6,117,667	6166667	61,666,670	61,666,670	
Preference shares						
At the beginning of the year	0	0	0	0	0	
Increase during the year	0	0	0	0	0	0
i. Issues of shares	0	0	0	0	0	0
ii. Re-issue of forfeited shares	0	0	0	0	0	0
iii. Others, specify						
Decrease during the year	0	0	0	0	0	0

i. Redemption of shares	0	0	0	0	0	0
ii. Shares forfeited	0	0	0	0	0	0
iii. Reduction of share capital	0	0	0	0	0	0
iv. Others, specify						
At the end of the year	0	0	0	0	0	

ISIN of the equity shares of the company

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

Class of shares		(i)	(ii)	(iii)
Before split / Consolidation	Number of shares			
	Face value per share			
After split / Consolidation	Number of shares			
	Face value per share			

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes

No

Not Applicable

Separate sheet attached for details of transfers

Yes

No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

Date of the previous annual general meeting	<input type="text" value="30/09/2020"/>
Date of registration of transfer (Date Month Year)	<input type="text"/>
Type of transfer	<input type="text" value="1"/> 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock

Number of Shares/ Debentures/ Units Transferred	<input style="width:95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width:95%;" type="text"/>
Ledger Folio of Transferor <input style="width:95%;" type="text"/>			
Transferor's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input style="width:95%;" type="text"/>			
Transferee's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Date of registration of transfer (Date Month Year) <input style="width:95%;" type="text"/>			
Type of transfer	<input style="width:95%;" type="text"/>	1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock	
Number of Shares/ Debentures/ Units Transferred	<input style="width:95%;" type="text"/>	Amount per Share/ Debenture/Unit (in Rs.)	<input style="width:95%;" type="text"/>
Ledger Folio of Transferor <input style="width:95%;" type="text"/>			
Transferor's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name
Ledger Folio of Transferee <input style="width:95%;" type="text"/>			
Transferee's Name	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>	<input style="width:95%;" type="text"/>
	Surname	middle name	first name

(iv) *Debentures (Outstanding as at the end of financial year)

Particulars	Number of units	Nominal value per unit	Total value
Non-convertible debentures	0	0	0

Particulars	Number of units	Nominal value per unit	Total value
Partly convertible debentures	0	0	0
Fully convertible debentures	0	0	0
Total			0

Details of debentures

Class of debentures	Outstanding as at the beginning of the year	Increase during the year	Decrease during the year	Outstanding as at the end of the year
Non-convertible debentures	0	0	0	0
Partly convertible debentures	0	0	0	0
Fully convertible debentures	0	0	0	0

(v) Securities (other than shares and debentures)

0

Type of Securities	Number of Securities	Nominal Value of each Unit	Total Nominal Value	Paid up Value of each Unit	Total Paid up Value
Total					

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,482,147,345

(ii) Net worth of the Company

1,357,651,005

VI. (a) *SHARE HOLDING PATTERN - Promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	4,621,814	74.95	0	
	(ii) Non-resident Indian (NRI)	0	0	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	0	0	0	

	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	
6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	0	0	0	
10.	Others	0	0	0	
	Total	4,621,814	74.95	0	0

Total number of shareholders (promoters)

9

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

S. No.	Category	Equity		Preference	
		Number of shares	Percentage	Number of shares	Percentage
1.	Individual/Hindu Undivided Family				
	(i) Indian	1,462,174	23.71	0	
	(ii) Non-resident Indian (NRI)	3,760	0.06	0	
	(iii) Foreign national (other than NRI)	0	0	0	
2.	Government				
	(i) Central Government	31,723	0.51	0	
	(ii) State Government	0	0	0	
	(iii) Government companies	0	0	0	
3.	Insurance companies	0	0	0	
4.	Banks	0	0	0	
5.	Financial institutions	0	0	0	

6.	Foreign institutional investors	0	0	0	
7.	Mutual funds	0	0	0	
8.	Venture capital	0	0	0	
9.	Body corporate (not mentioned above)	21,588	0.35	0	
10.	Others Clearing Members	25,608	0.42	0	
	Total	1,544,853	25.05	0	0

Total number of shareholders (other than promoters) 2,299

**Total number of shareholders (Promoters+Public/
Other than promoters)** 2,308

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

Details	At the beginning of the year	At the end of the year
Promoters	9	9
Members (other than promoters)	2,013	2,299
Debenture holders	0	0

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

Category	Number of directors at the beginning of the year		Number of directors at the end of the year		Percentage of shares held by directors as at the end of year	
	Executive	Non-executive	Executive	Non-executive	Executive	Non-executive
A. Promoter	3	0	3	0	23.19	0
B. Non-Promoter	0	3	0	3	0	0
(i) Non-Independent	0	0	0	0	0	0
(ii) Independent	0	3	0	3	0	0
C. Nominee Directors representing	0	0	0	0	0	0
(i) Banks & FIs	0	0	0	0	0	0
(ii) Investing institutions	0	0	0	0	0	0

(iii) Government	0	0	0	0	0	0
(iv) Small share holders	0	0	0	0	0	0
(v) Others	0	0	0	0	0	0
Total	3	3	3	3	23.19	0

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

9

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

Name	DIN/PAN	Designation	Number of equity share(s) held	Date of cessation (after closure of financial year : If any)
RAKESH SHANTISARU	00029332	Managing Director	858,230	
SHANTISARUP RENIW	00040355	Director	172,080	
UNNATI RAKESH REN	00041306	Director	400,000	
MANOHAR HANUMAN	02622648	Director	2	
PRADEEP LILARAM BH	02903984	Director	0	
TEJASBHAI HIMMATBH	03017277	Director	0	
SANJEEV SHANTISAR	ACQPR2461Q	CEO	438,267	
KIRTI SHANTILAL DES	AABPD8598D	CFO	1	
DHWANI DINESH PUN	CTPPP1787H	Company Secretar	0	

(ii) Particulars of change in director(s) and Key managerial personnel during the year

2

Name	DIN/PAN	Designation at the beginning / during the financial year	Date of appointment/ change in designation/ cessation	Nature of change (Appointment/ Change in designation/ Cessation)
SHALINDER KAUR JAS	BPVPK4305K	Company Secretar	30/11/2020	Cessation
DHWANI DINESH PUN	CTPPP1787H	Company Secretar	13/02/2021	Appointment

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

Type of meeting	Date of meeting	Total Number of Members entitled to attend meeting	Attendance	
			Number of members attended	% of total shareholding
ANNUAL GENERAL MEETI	30/09/2020	2,062	30	69.27

B. BOARD MEETINGS

*Number of meetings held

8

S. No.	Date of meeting	Total Number of directors associated as on the date of meeting	Attendance	
			Number of directors attended	% of attendance
1	30/05/2020	6	3	50
2	29/06/2020	6	3	50
3	09/07/2020	6	5	83.33
4	01/09/2020	6	6	100
5	18/09/2020	6	6	100
6	31/10/2020	6	3	50
7	11/11/2020	6	6	100
8	13/02/2021	6	6	100

C. COMMITTEE MEETINGS

Number of meetings held

11

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
1	AUDIT COMM	09/07/2020	4	4	100
2	AUDIT COMM	18/09/2020	4	4	100
3	AUDIT COMM	11/11/2020	4	4	100
4	AUDIT COMM	13/02/2021	4	4	100
5	NOMINATION	09/07/2020	3	3	100
6	NOMINATION	31/10/2020	3	3	100
7	NOMINATION	13/02/2021	3	2	66.67
8	STAKEHOLDER	09/07/2020	3	2	66.67

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of the meeting	Attendance	
				Number of members attended	% of attendance
9	STAKEHOLDER	13/02/2021	3	3	100
10	CORPORATE	09/07/2020	3	2	66.67

D. *ATTENDANCE OF DIRECTORS

S. No.	Name of the director	Board Meetings			Committee Meetings			Whether attended AGM held on
		Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	Number of Meetings which director was entitled to attend	Number of Meetings attended	% of attendance	
1	RAKESH SHA	8	8	100	6	6	100	
2	SHANTISARU	8	8	100	0	0	0	
3	UNNATI RAKI	8	7	87.5	0	0	0	
4	MANOHAR H	8	5	62.5	9	9	100	
5	PRADEEP LIL	8	5	62.5	11	11	100	
6	TEJASBHAI H	8	5	62.5	11	8	72.73	

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	RAKESH SHANTIS	MANAGING DIR	1,800,000	0	0	0	1,800,000
2	SHANTISARUP RE	CHAIRMAN	1,800,000	0	0	0	1,800,000
	Total		3,600,000	0	0	0	3,600,000

Number of CEO, CFO and Company secretary whose remuneration details to be entered

2

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/Sweat equity	Others	Total Amount
1	KIRTI SHANTILAL I	CEO	690,350	0	0	0	690,350
2	DHWANI DINESH F	COMPANY SEC	90,000	0	0	0	90,000

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
	Total		780,350	0	0	0	780,350

Number of other directors whose remuneration details to be entered

0

S. No.	Name	Designation	Gross Salary	Commission	Stock Option/ Sweat equity	Others	Total Amount
1							0
	Total						

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which penalised / punished	Details of penalty/ punishment	Details of appeal (if any) including present status

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

Name of the company/ directors/ officers	Name of the court/ concerned Authority	Date of Order	Name of the Act and section under which offence committed	Particulars of offence	Amount of compounding (in Rupees)

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

DILIP BHARADIYA

Whether associate or fellow

Associate Fellow

Certificate of practice number

6740

I/We certify that:

(a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.

(b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

DIN of the director

00029332

To be digitally signed by

Company Secretary

Company secretary in practice

Membership number

60993

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

Attach
Attach
Attach
Attach

List of attachments

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Remove attachment

Modify

Check Form

Prescrutiny

Submit

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

Hariyana Ship Breakers Limited

Details of shares/debentures transfers from

01/04/2020

To 31/03/2021

Closure Date :

31-03-2020

TRANSFER DATE	TYPE	SH/DB	AMTPERSH	FOLIO	SELLER NAME	FOLIO	BUYER NAME
26/10/2020	1	100	000010	00000798	R RAMASUBRAMANIAN	ND000001	NATIONAL SECURITY DE
19/11/2020	1	100	000010	00000962	BINDI SHAH	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000963	BIJAL SHAH	IEPF2017	IEPF2017
19/11/2020	1	200	000010	00001001	SURESH SINGLA	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001009	UMESH K PODDAR	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001051	ISHWARAPPA B KOTI	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001054	MOHEMAD HUSAIN S NAY	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001085	SARVESHWAR MANDHANA	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001095	RAM NIWAS GOYAL	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001096	SHAKUNTALA GOYAL	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001099	CHIRANJILAL GUPTA	IEPF2017	IEPF2017
19/11/2020	1	300	000010	00001323	JAYESH C SHAH	IEPF2017	IEPF2017
19/11/2020	1	1000	000010	00001325	JASWANT SINGH SACHDE	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001359	RAMILA G CHAUHAN	IEPF2017	IEPF2017
19/11/2020	1	200	000010	00001400	KUBER D AINAPURE	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00001579	VINITA SINGH	IEPF2017	IEPF2017
19/11/2020	1	2000	000010	00001618	YOGESH J SINGLA	IEPF2017	IEPF2017
19/11/2020	1	300	000010	00001658	HASMUKH MOHANLAL FAL	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000293	AMRIT PURUSHOTTAM SO	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000294	JAYA AMRIT SOLANKI	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000303	NITESH GURNANI	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000408	MONA SULTANALI LADIW	IEPF2017	IEPF2017
19/11/2020	1	200	000010	00000420	ASHIT SHIPPING SERVI	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000493	DARSHNA D LANGALIA	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000508	DHANSUKHLAL GANDHI	IEPF2017	IEPF2017
19/11/2020	1	100	000010	00000581	MADHU JAIN	IEPF2017	IEPF2017
19/11/2020	1	6000	000010	IEPF2017	IEPF2017	ND000001	NSDL
05/01/2021	1	200	000010	00001907	SUNIL RAGHUVIRPRASAD	ND000001	NATIONAL SECURITY DE



HARIYANA

SHIP BREAKERS LTD

COMMITTEE MEETINGS (In continuation to Point No. IX – C):

S. No.	Type of meeting	Date of meeting	Total Number of Members as on the date of meeting	Attendance	
				Number of Members attended	% of attendance
11	Corporate Social Responsibility Committee	13/02/2021	3	3	100

Registered Office: 156 - Maker Chambers VI, 220, Jamnalal Bajaj Marg,
Nariman Point, Mumbai- 400 021

Tel: 022 - 22043211; Fax- 22043215 E-mail: secretarial.hariyana@gmail.com

CIN No. L61100MH1981PLC024774 **Web Site:** www.hariyanagroup.com

ISO Certified (14001:2004/ 9001:2008/ 30000:2009)

Form No. MGT-8

*[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies
(Management and Administration) Rules, 2014]*

To,
The Board of Directors,
HARIYANA SHIP-BREAKERS LIMITED
156, Maker Chambers VI,
220 Jammalal Bajaj Marg,
Nariman Point, Mumbai - 400021

We have examined the registers, records and books and papers of **Hariyana Ship-Breakers Limited** - (the Company) bearing Corporate Identity Number (CIN) - L61100MH1981PLC024774 as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on **March 31, 2021**. In our opinion and to the best of the information and according to the examinations carried out by us and explanations furnished to us by the company, its officers and agents, we certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the aforesaid financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. The Company is a Public Limited Company under sub-section 71 of section 2 of the Companies Act, 2013 and is listed on BSE Limited;
 2. The Company has kept and maintained all registers as per the provisions of the Act and the rules made thereunder and all entries therein have been duly recorded within prescribed time limit;
 3. The Company has duly filed the forms and returns as stated in Annual Return, with the Registrar of the Companies/ Central Government/ Regional Directors within the time / under the Act and the rules made thereunder, with additional fees, wherever applicable;

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4. The Company has held meetings of Board of Directors and its committees and the meetings of the members of the company on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings have been properly recorded in the Minutes Book/registers maintained for the purpose and the same have been signed;
5. The Company has closed the Register of Members, as and when necessary and has complied with the provisions of Section 91 of the Act;
6. The Company has not undertaken any transactions during the year in respect of loans, guarantees and securities covered under Section 185 of the Act;
7. The Company has entered into contracts/arrangements with related parties as specified in section 188 of the Act and complied with the provisions of the Act;
8. During the year, the Company has not issued or allotted or bought back the securities. The Company has not issued any preference share capital or debentures and therefore question of redemptions of the same do not arise during the financial year under reference. There was no alteration or reduction of share capital/conversion of shares/securities and issue of security certificates during the financial year;
9. There were no instances necessitating the company to keep in abeyance the rights to dividend, rights shares and bonus shares pending registration of transfer of shares;
10. The Company has not declared any dividend during the year, The shares and the amounts due and outstanding to be credited to Investor Education and Protections Fund (IEPF) were transferred to IEPF and the Company has complied with the provisions of the Act;
11. The Company has duly signed audited standalone and consolidated financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof;
12. The Board of Directors of the company is duly constituted and the appointment/re-appointment of director/ additional director/ alternate director/ director to fill casual vacancy/ disclosures of Directors/ Key Managerial Personnel were made in accordance with the provisions of the Companies Act, 2013 during financial year under reference;

13. The appointment/ re-appointment of Auditors is duly made as per the provisions of section 139 of the Act;
14. There were no other instances requiring to obtain any approval by the company from the Central Government, Company Law Board, Regional Director, or such other authorities as may be prescribed under the various provisions of the Act during the said financial year;
15. The Company has neither invited nor accepted any deposits within the purview of sections 76 of the Act read with the Companies (Acceptance of Deposit) Rules, 2014;
16. The Company has complied with the provisions of Section 180 (1) (c) of the Act pertaining to borrowings from its directors, members, public financial institutions, banks and others and creation/modification/satisfaction of charges in that respect, wherever applicable;
17. The Company has complied the provisions of the Act in respect of loans advanced and the investments made in other bodies corporate or persons falling under the provisions of section 186 of the Act;
18. The Company has not altered the Memorandum of association and the Articles of association during the financial year under reference.

For DILIP BHARADIYA & ASSOCIATES

DILIP BHARADIYA
F.C.S.7956 C.P.6740
UDIN:

Place: Mumbai

Date: